

Linux System and Performance Monitoring

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Linux Performance Monitoring

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1.0 Performance Monitoring Introduction

Performance tuning is the process of finding bottlenecks in a system and tuning the operating system to eliminate these bottlenecks. Many administrators believe that performance tuning can be a "cook book" approach, which is to say that setting some parameters in the kernel will simply solve a problem. This is not the case. Performance tuning is about achieving balance between the different sub-systems of an OS. These sub-systems include:

- CPU
- Memory
- IO
- Network

These sub-systems are all highly dependent on each other. Any one of them with high utilization can easily cause problems in the other. For example:

- large amounts of page-in IO requests can fill the memory queues
- full gigabit throughput on an Ethernet controller may consume a CPU
- a CPU may be consumed attempting to maintain free memory queues
- a large number of disk write requests from memory may consume a CPU and IO channels

In order to apply changes to tune a system, the location of the bottleneck must be located. Although one sub-system appears to be causing the problems, it may be as a result of overload on another sub-system.

1.1 Determining Application Type

In order to understand where to start looking for tuning bottlenecks, it is first important to understand the behavior of the system under analysis. The application stack of any system is often broken down into two types:

- IO Bound An IO bound application requires heavy use of memory and the underlying storage system. This is due to the fact that an IO bound application is processing (in memory) large amounts of data. An IO bound application does not require much of the CPU or network (unless the storage system is on a network). IO bound applications use CPU resources to make IO requests and then often go into a sleep state. Database applications are often considered IO bound applications.
- CPU Bound A CPU bound application requires heavy use of the CPU. CPU bound applications require the CPU for batch processing and/or mathematical calculations. High volume web servers, mail servers, and any kind of rendering server are often considered CPU bound applications.

1.2 Determining Baseline Statistics

System utilization is contingent on administrator expectations and system specifications. The only way to understand if a system is having performance issues is to understand what is expected of the system. What kind of performance should be expected and what do those numbers look like? The only way to establish this is to create a baseline. Statistics must be available for a system under acceptable performance so it can be compared later against unacceptable performance.

In the following example, a baseline snapshot of system performance is compared against a snapshot of the system under heavy utilization.

# ₹	mst	tat 1													
pro	CS				memory		swap		io	s	ystem			(cpu
r	b	swpd	free	buff	cache	si	so	bi	bo	in	CS	us	sy	wa	id
1	0	138592	17932	126272	214244	0	0	1	18	109	19	2	1	1	96
0	0	138592	17932	126272	214244	0	0	0	0	105	46	0	1	0	99
0	0	138592	17932	126272	214244	0	0	0	0	198	62	40	14	0	45
0	0	138592	17932	126272	214244	0	0	0	0	117	49	0	0	0	100
0	0	138592	17924	126272	214244	0	0	0	176	220	938	3	4	13	80
0	0	138592	17924	126272	214244	0	0	0	0	358	1522	8	17	0	75
1	0	138592	17924	126272	214244	0	0	0	0	368	1447	4	24	0	72
0	0	138592	17924	126272	214244	0	0	0	0	352	1277	9	12	0	79
# -	vm:	stat 1													
pro	CS				memory		swap		io	S	ystem			(cpu
r	b	swpd	free	buff	cache	si	so	bi	bo	in	CS	us	sy		
2	0	145940	17752	118600	215592	0	1	1	18	109	19	2	1	1	96
2	0	145940	15856	118604	215652	0	0	0	468	789	108	86	14	0	0
3	0	146208	13884	118600	214640	0	360	0	360	498	71	91	9	0	0
2	0	146388	13764	118600	213788	0	340	0	340	672	41	87	13	0	0
2	0	147092	13788	118600	212452	0	740	0	1324	620	61	92	8	0	0
2	0	147360	13848	118600	211580	0	720	0	720	690	41	96	4	0	0
2 2	0	147360 147912	13848 13744	118600 118192	211580 210592	0	720 720	0	720 720	690 605	41 44	96 95	4 5	0	0
_						-		-					-	-	-

Just by looking at the numbers in the last column (id) which represent idle time, we can see that under baseline conditions, the CPU is idle for 79% - 100% of the time. In the second output, we can see that the system is 100% utilized and not idle. What needs to be determined is whether or not the system at CPU utilization is managing.

2.0 Installing Monitoring Tools

Most *nix systems ship with a series of standard monitoring commands. These monitoring commands have been a part of *nix since its inception. Linux provides these monitoring tools as part of the base installation or add-ons. Ultimately, there are packages available for most distributions with these tools. Although there are multiple open source and 3rd party monitoring tools, the goal of this paper is to use tools included with a Linux distribution.

This paper describes how to monitor performance using the following tools.

Figure 1: Performance Monitoring Tools

Tool	Description	Base	Repository
vmstat	all purpose performance tool	yes	yes
mpstat	provides statistics per CPU	no	yes
sar	all purpose performance monitoring tool	no	yes
iostat	provides disk statistics	no	yes
netstat	provides network statistics	yes	yes
dstat	monitoring statistics aggregator	no	in most distributions
iptraf	traffic monitoring dashboard	no	yes
netperf	Network bandwidth tool	no	In some distributions
ethtool	reports on Ethernet interface configuration	yes	yes
iperf	Network bandwidth tool	no	yes
tcptrace	Packet analysis tool	no	yes
iotop	Displays IO per process	no	yes

3.0 Introducing the CPU

The utilization of a CPU is largely dependent on what resource is attempting to access it. The kernel has a scheduler that is responsible for scheduling two kinds of resources: threads (single or multi) and interrupts. The scheduler gives different priorities to the different resources. The following list outlines the priorities from highest to lowest:

- Interrupts Devices tell the kernel that they are done processing. For example, a NIC delivers a packet or a hard drive provides an IO request
- Kernel (System) Processes All kernel processing is handled at this level of priority.
- User Processes This space is often referred to as "userland".
 All software applications run in the user space. This space has the lowest priority in the kernel scheduling mechanism.

In order to understand how the kernel manages these different resources, a few key concepts need to be introduced. The following sections introduce context switches, run queues, and utilization.

3.1 Context Switches

Most modern processors can only run one process (single threaded) or thread at time. The n-way Hyper threaded processors have the ability to run n threads at a time. Still, the Linux kernel views each processor core on a dual core chip as an independent processor. For example, a system with one dual core processor is reported as two individual processors by the Linux kernel.

A standard Linux kernel can run anywhere from 50 to 50,000 process threads at once. With only one CPU, the kernel has to schedule and balance these process threads. Each thread has an allotted time quantum to spend on the processor. Once a thread has either passed the time quantum or has been preempted by

something with a higher priority (a hardware interrupt, for example), that thread is place back into a queue while the higher priority thread is placed on the processor. This switching of threads is referred to as a context switch.

Every time the kernel conducts a context switch, resources are devoted to moving that thread off of the CPU registers and into a queue. The higher the volume of context switches on a system, the more work the kernel has to do in order to manage the scheduling of processes.

3.2 The Run Queue

Each CPU maintains a run queue of threads. Ideally, the scheduler should be constantly running and executing threads. Process threads are either in a sleep state (blocked and waiting on IO) or they are runnable. If the CPU sub-system is heavily utilized, then it is possible that the kernel scheduler can't keep up with the demand of the system. As a result, runnable processes start to fill up a run queue. The larger the run queue, the longer it will take for process threads to execute.

A very popular term called "load" is often used to describe the state of the run queue. The system load is a combination of the amount of process threads currently executing along with the amount of threads in the CPU run queue. If two threads were executing on a dual core system and 4 were in the run queue, then the load would be 6. Utilities such as top report load averages over the course of 1, 5, and 15 minutes.

3.3 CPU Utilization

CPU utilization is defined as the percentage of usage of a CPU. How a CPU is utilized is an important metric for measuring system. Most performance monitoring tools categorize CPU utilization into the following categories:

- User Time The percentage of time a CPU spends executing process threads in the user space.
- System Time The percentage of time the CPU spends executing kernel threads and interrupts.
- Wait IO The percentage of time a CPU spends idle because ALL process threads are blocked waiting for IO requests to complete.
- Idle The percentage of time a processor spends in a completely idle state.

4.0 CPU Performance Monitoring

Understanding how well a CPU is performing is a matter of interpreting run queue, utilization, and context switching performance. As mentioned earlier, performance is all relative to baseline statistics. There are, however, some general performance expectations on any system. These expectations include:

- Run Queues A run queue should have no more than 1-3 threads queued per processor. For example, a dual processor system should not have more than 6 threads in the run queue.
- CPU Utilization If a CPU is fully utilized, then the following balance of utilization should be achieved.
- 65% 70% User Time
- 30% 35% System Time
- 0% 5% Idle Time
- Context Switches The amount of context switches is directly relevant to CPU utilization. A high amount of context switching is acceptable if CPU utilization stays within the previously mentioned balance

There are many tools that are available for Linux that measure these statistics. The first two tools examined will be vmstat and top.

4.1 Using the vmstat Utility

The <code>vmstat</code> utility provides a good low-overhead view of system performance. Because <code>vmstat</code> is such a low-overhead tool, it is practical to keep it running on a console even under a very heavily loaded server were you need to monitor the health of a system at a glance. The utility runs in two modes: average and sample mode. The sample mode will measure statistics over a specified interval. This mode is the most useful when understanding performance under a sustained load. The following example demonstrates <code>vmstat</code> running at 1 second intervals.

# ∨	mst	tat I													
pro	procsmemory					swa	ap	i	>	syst	cem	-			
r	b	swpd	free	buff	cache	si	so	bi	bo	in	CS	us	sy	id	wa
0	0	104300	16800	95328	72200	0	0	5	26	7	14	4	1	95	0
0	0	104300	16800	95328	72200	0	0	0	24	1021	64	1	1	98	0
0	0	104300	16800	95328	72200	0	0	0	0	1009	59	1	1	98	0

The relevant fields in the output are as follows:

Table 1: The vmstat CPU statistics

Field	Description
r	The amount of threads in the run queue. These are threads that are runnable, but the CPU is not available to execute them.
b	This is the number of processes blocked and waiting on IO requests to finish.
in	This is the number of interrupts being processed.
CS	This is the number of context switches currently happening on the system.
us	This is the percentage of user CPU utilization.
sys	This is the percentage of kernel and interrupts utilization.
wa	This is the percentage of idle processor time due to the fact that ALL runnable threads are blocked waiting on IO.
id	This is the percentage of time that the CPU is completely idle.

4.2 Case Study: Sustained CPU Utilization

In the next example, the system is completely utilized.

# ∨	ms	tat 1													
pro	CS				memory		swap		io		system	cs us sy v 26 81 19 23 96 4 20 96 4 80 93 7 70 84 16 25 89 11 24 86 14 27 95 3 35 93 7 36 93 6			cpu
r	b	swpd	free	buff	cache	si	so	bi	bo	in	CS	us	sy	wa	id
3	0	206564	15092	80336	176080	0	0	0	0	718	26	81	19	0	0
2	0	206564	14772	80336	176120	0	0	0	0	758	23	96	4	0	0
1	0	206564	14208	80336	176136	0	0	0	0	820	20	96	4	0	0
1	0	206956	13884	79180	175964	0	412	0	2680	1008	80	93	7	0	0
2	0	207348	14448	78800	175576	0	412	0	412	763	70	84	16	0	0
2	0	207348	15756	78800	175424	0	0	0	0	874	25	89	11	0	0
1	0	207348	16368	78800	175596	0	0	0	0	940	24	86	14	0	0
1	0	207348	16600	78800	175604	0	0	0	0	929	27	95	3	0	2
3	0	207348	16976	78548	175876	0	0	0	2508	969	35	93	7	0	0
4	0	207348	16216	78548	175704	0	0	0	0	874	36	93	6	0	1
4	0	207348	16424	78548	175776	0	0	0	0	850	26	77	23	0	0
2	0	207348	17496	78556	175840	0	0	0	0	736	23	83	17	0	0
0	0	207348	17680	78556	175868	0	0	0	0	861	21	91	8	0	1

The following observations are made from the output:

- There are a high amount of interrupts (in) and a low amount of context switches. It appears that a single process is making requests to hardware devices.
- To further prove the presence of a single application, the user (us) time is constantly at 85% and above. Along with the low amount of context switches, the process comes on the processor and stays on the processor.
- The run queue is just about at the limits of acceptable performance. On a couple occasions, it goes beyond acceptable limits.

4.3 Case Study: Overloaded Scheduler

In the following example, the kernel scheduler is saturated with context switches.

# V	mstat 1													
pro	CS			memory		swap		io	5	system			(cpu
r	b sw	pd fre	e buff	cache	si	so	bi	bo	in	CS	us	sy	wa	id
2	1 2077	40 9847	6 81344	180972	0	0	2496	0	900	2883	4	12	57	27
0	1 2077	40 9644	8 83304	180984	0	0	1968	328	810	2559	8	9	83	0
0	1 2077	40 9440	4 85348	180984	0	0	2044	0	829	2879	9	6	78	7
0	1 2077	40 9257	6 87176	180984	0	0	1828	0	689	2088	3	9	78	10
2	0 2077	40 9130	0 88452	180984	0	0	1276	0	565	2182	7	6	83	4
3	1 2077	40 9012	4 89628	180984	0	0	1176	0	551	2219	2	7	91	0
4	2 2077	40 8924	0 90512	180984	0	0	880	520	443	907	22	10	67	0
5	3 2077	40 8805	6 91680	180984	0	0	1168	0	628	1248	12	11	77	0
4	2 2077	40 8685	2 92880	180984	0	0	1200	0	654	1505	6	7	87	0
6	1 2077	40 8573	6 93996	180984	0	0	1116	0	526	1512	5	10	85	0
0	1 2077	40 8484	4 94888	180984	0	0	892	0	438	1556	6	4	90	0

The following conclusions can be drawn from the output:

- The amount of context switches is higher than interrupts, suggesting that the kernel has to spend a considerable amount of time context switching threads.
- The high volume of context switches is causing an unhealthy balance of CPU utilization. This is evident by the fact that the wait on IO percentage is extremely high and the user percentage is extremely low.
- Because the CPU is block waiting for I/O, the run queue starts to fill and the amount of threads blocked waiting on I/O also fills.

4.4 Using the mpstat Utility

If your system has multiple processor cores, you can use the mpstat command to monitor each individual core. The Linux kernel treats a dual core processor as 2 CPU's. So, a dual processor system with dual cores will report 4 CPUs available. The mpstat command provides the same CPU utilization statistics as vmstat, but mpstat breaks the statistics out on a per processor basis.

```
# mpstat -P ALL 1
Linux 2.4.21-20.ELsmp (localhost.localdomain) 05/23/2006

05:17:31 PM CPU %user %nice %system %idle intr/s
05:17:32 PM all 0.00 0.00 3.19 96.53 13.27
05:17:32 PM 0 0.00 0.00 0.00 100.00 0.00
05:17:32 PM 1 1.12 0.00 12.73 86.15 13.27
05:17:32 PM 2 0.00 0.00 100.00 0.00
05:17:32 PM 3 0.00 0.00 0.00 100.00 0.00
```

4.5 Case Study: Underutilized Process Load

In the following case study, a 4 CPU cores are available. There are two CPU intensive processes running that fully utilize 2 of the cores (CPU 0 and 1). The third core is processing all kernel and other system functions (CPU 3). The fourth core is sitting idle (CPU 2).

The top command shows that there are 3 processes consuming almost an entire CPU core:

```
# top -d 1
top - 23:08:53 up 8:34, 3 users, load average: 0.91, 0.37, 0.13
Tasks: 190 total, 4 running, 186 sleeping, 0 stopped, 0 zombie Cpu(s): 75.2% us, 0.2% sy, 0.0% ni, 24.5% id, 0.0% wa, 0.0% hi, 0.0%
si
      2074736k total, 448684k used, 1626052k free,
Mem:
                                                      73756k buffers
Swap: 4192956k total,
                            0k used, 4192956k free, 259044k cached
              PR NI VIRT RES SHR S %CPU %MEM
 PID USER
                                                   TIME+ COMMAND
15957 nobody 25 0 2776 280 224 R 100 20.5 0:25.48 php
15959 mysql
             25 0 2256 280 224 R 100 38.2 0:17.78 mysqld
15960 apache 25 0 2416 280 224 R 100 15.7 0:11.20 httpd
15901 root 16 0 2780 1092 800 R 1 0.1 0:01.59 top
                                                   0:00.64 init
              16 0 1780 660 572 S 0 0.0
   1 root
```

```
# mpstat -P ALL 1
Linux 2.4.21-20.ELsmp (localhost.localdomain) 05/23/2006
05:17:31 PM CPU %user
                     %nice %system %idle
                                           intr/s
05:17:32 PM all 81.52
                      0.00 18.48 21.17
                                           130.58
                                  0.00
                            17.35
05:17:32 PM
          0 83.67
                       0.00
                                           115.31
          1 80.61
                            19.39
05:17:32 PM
                       0.00
                                    0.00
                                           13.27
                             16.33 84.66
05:17:32 PM
            2
                0.00
                       0.00
                                             2.01
              79.59
05:17:32 PM
            3
                       0.00
                             21.43
                                   0.00
                                             0.00
                      %nice %system %idle
05:17:32 PM CPU %user
                                           intr/s
05:17:33 PM all
               85.86
                       0.00
                            14.14
                                   25.00
                                           116.49
05:17:33 PM
          0 88.66
                       0.00
                            12.37
                                   0.00
                                           116.49
05:17:33 PM
          1 80.41
                     0.00
                            19.59
                                   0.00
                                            0.00
05:17:33 PM
               0.00
                     0.00
                             0.00 100.00
                                             0.00
05:17:33 PM
          3 83.51
                     0.00
                            16.49
                                  0.00
                                             0.00
05:17:33 PM CPU %user %nice %system %idle
                                          intr/s
                     0.00 17.26 25.00
05:17:34 PM all 82.74
                                          115.31
                                   0.00
05:17:34 PM 0 85.71
                       0.00
                             13.27
                                           115.31
              78.57
          1
                                           0.00
05:17:34 PM
                       0.00
                             21.43
                                    0.00
          2 0.00
3 92.86
                            0.00 100.00
05:17:34 PM
                       0.00
                                             0.00
05:17:34 PM
                       0.00
                              9.18
                                   0.00
                                             0.00
05:17:34 PM CPU %user
                     %nice %system %idle
                                           intr/s
05:17:35 PM all 87.50
                      0.00
                            12.50 25.00
                                          115.31
05:17:35 PM 0 91.84
                       0.00
                             8.16
                                   0.00
                                           114.29
05:17:35 PM 1 90.82
                            10.20 0.00
                     0.00
                                            1.02
05:17:35 PM 2 0.00
                     0.00 0.00 100.00
                                            0.00
05:17:35 PM 3 81.63
                     0.00 15.31
                                     0.00
                                            0.00
```

You can determine which process is taking up which CPU by running the ps command again and monitoring the PSR column.

```
# while :; do ps -eo pid, ni, pri, pcpu, psr, comm | grep 'mysqld'; sleep 1;
done
 PID NI PRI %CPU PSR COMMAND
      0 15 86.0
15775
                   3 mysgld
 PID NI PRI %CPU PSR COMMAND
15775
      0 14 94.0 3 mysqld
 PID NI PRI %CPU PSR COMMAND
15775 0 14 96.6 3 mysqld
 PID NI PRI %CPU PSR COMMAND
15775
      0 14 98.0 3 mysqld
 PID NI PRI %CPU PSR COMMAND
15775
      0 14 98.8 3 mysqld
 PID NI PRI %CPU PSR COMMAND
15775
      0 14 99.3 3 mysqld
```

4.6 Conclusion

Monitoring CPU performance consists of the following actions:

- Check the system run queue and make sure there are no more than 3 runnable threads per processor
- Make sure the CPU utilization is split between 70/30 between user and system
- When the CPU spends more time in system mode, it is more than likely overloaded and trying to reschedule priorities
- Running CPU bound process always get penalized while I/O process are rewarded

5.0 Introducing Virtual Memory

Virtual memory uses a disk as an extension of RAM so that the effective size of usable memory grows correspondingly. The kernel will write the contents of a currently unused block of memory to the hard disk so that the memory can be used for another purpose. When the original contents are needed again, they are read back into memory. This is all made completely transparent to the user; programs running under Linux only see the larger amount of memory available and don't notice that parts of them reside on the disk from time to time. Of course, reading and writing the hard disk is slower (on the order of a thousand times slower) than using real memory, so the programs don't run as fast. The part of the hard disk that is used as virtual memory is called the swap space.

5.1 Virtual Memory Pages

Virtual memory is divided into pages. Each virtual memory page on the X86 architecture is 4KB. When the kernel writes memory to and from disk, it writes memory in pages. The kernel writes memory pages to both the swap device and the file system.

5.2 Kernel Memory Paging

Memory paging is a normal activity not to be confused with memory swapping. Memory paging is the process of synching memory back to disk at normal intervals. Over time, applications will grow to consume all of memory. At some point, the kernel must scan memory and reclaim unused pages to be allocated to other applications.

5.3 The Page Frame Reclaim Algorithm (PFRA)

The PFRA is responsible for freeing memory. The PFRA selects which memory pages to free by page type. Page types are listed below:

- Unreclaimable locked, kernel, reserved pages
- Swappable anonymous memory pages
- Syncable pages backed by a disk file
- Discardable static pages, discarded pages

All but the "unreclaimable" pages may be reclaimed by the PFRA.

There are two main functions in the PFRA. These include the kswapd kernel thread and the "Low On Memory Reclaiming" function.

5.4 kswapd

The kswapd daemon is responsible for ensuring that memory stays free. It monitors the pages_high and pages_low watermarks in the kernel. If the amount of free memory is below pages_low, the kswapd process starts a scan to attempt to free 32 pages at a time. It repeats this process until the amount of free memory is above the pages high watermark.

The kswapd thread performs the following actions:

- If the page is unmodified, it places the page on the free list.
- If the page is modified and backed by a filesystem, it writes the contents of the page to disk.
- If the page is modified and not backed up by any filesystem (anonymous), it writes the contents of the page to the swap device.

5.5 Kernel Paging with pdflush

The pdflush daemon is responsible for synchronizing any pages associated with a file on a filesystem back to disk. In other words, when a file is modified in memory, the pdflush daemon writes it back to disk.

The pdflush daemon starts synchronizing dirty pages back to the filesystem when 10% of the pages in memory are dirty. This is due to a kernel tuning parameter called vm.dirty background ratio.

```
# sysctl -n vm.dirty_background_ratio
10
```

The pdflush daemon works independently of the PFRA under most circumstances. When the kernel invokes the LMR algorithm, the LMR specifically forces pdflush to flush dirty pages in addition to other page freeing routines.

Under intense memory pressure in the 2.4 kernel, the system would experience swap thrashing. This would occur when the PFRA would steal a page that an active process was trying to use. As a result, the process would have to reclaim that page only for it to be stolen again, creating a thrashing condition. This was fixed in kernel 2.6 with the "Swap Token", which prevents the PFRA from constantly stealing the same page from a process.

5.6 Case Study: Large Inbound I/O

The vmstat utility reports on virtual memory usage in addition to CPU usage. The following fields in the vmstat output are relevant to virtual memory:

Table 2: The vmstat Memory Statistics

Field	Description
	The amount of virtual memory in KB currently in use. As free memory reaches low
swapd	thresholds, more data is paged to the swap device.
	The amount of physical RAM in kilobytes currently available to running
free	applications.
	The amount of physical memory in kilobytes in the buffer cache as a result of
buff	read() and write() operations.
cache	The amount of physical memory in kilobytes mapped into process address space.
so	The amount of data in kilobytes written to the swap disk.
si	The amount of data in kilobytes written from the swap disk back into RAM.
	The amount of disk blocks paged out from the RAM to the filesystem or swap
bo	device.
bi	The amount of disk blocks paged into RAM from the filesystem or swap device.

The following vmstat output demonstrates heavy utilization of virtual memory during an I/O application spike.

# ∨	# vmstat 3														
pr	ocs	3	mem	ory		SWa	ар		io	syst	em		(cpu	
r	b	swpd	free	buff	cache	si	so	bi	bo	in	cs ı	ıs s	зу :	id v	<i>v</i> a
3	2	809192	261556	79760	886880	416	0	8244	751	426	863	17	3	6	75
0	3	809188	194916	79820	952900	307	0	21745	1005	1189	2590	34	6	12	48
0	3	809188	162212	79840	988920	95	0	12107	0	1801	2633	2	2	3	94
1	3	809268	88756	79924	1061424	260	28	18377	113	1142	1694	3	5	3	88
1	2	826284	17608	71240	1144180	100	6140	25839	16380	1528	1179	19	9	12	61
2	1	854780	17688	34140	1208980	1	9535	25557	30967	1764	2238	43	13	16	28
0	8	867528	17588	32332	1226392	31	4384	16524	27808	1490	1634	41	10	7	43
4	2	877372	17596	32372	1227532	213	3281	10912	3337	678	932	33	7	3	57
1	2	885980	17800	32408	1239160	204	2892	12347	12681	1033	982	40	12	2	46
5	2	900472	17980	32440	1253884	24	4851	17521	4856	934	1730	48	12	13	26
1	1	904404	17620	32492	1258928	15	1316	7647	15804	919	978	49	9	17	25
4	1	911192	17944	32540	1266724	37	2263	12907	3547	834	1421	47	14	20	20
1	1	919292	17876	31824	1275832	1	2745	16327	2747	617	1421	52	11	23	14
5	0	925216	17812	25008	1289320	12	1975	12760	3181	772	1254	50	10	21	19
Ω	5	932860	17736	21760	1300280	8	2556	15469	3873	825	1258	49	13	24	15

The following observations are made from this output:

- A large amount of disk blocks are paged in (bi) from the filesystem. This is evident in the fact that the cache of data in process address spaces (cache) grows.
- During this period, the amount of free memory (free) remains steady at 17MB even though data is paging in from the disk to consume free RAM.
- To maintain the free list, kswapd steals memory from the read/write buffers (buff) and assigns it to the free list. This is evident in the gradual decrease of the buffer cache (buff).
- The kswapd process then writes dirty pages to the swap device (so). This is evident in the fact that the amount of virtual memory utilized gradually increases (swpd).

5.7 Conclusion

Virtual memory performance monitoring consists of the following actions:

- The less major page faults on a system, the better response times achieved as the system is leveraging memory caches over disk caches.
- Low amounts of free memory are a good sign that caches are effectively used unless there are sustained writes to the swap device and disk.
- If a system reports any sustained activity on the swap device, it means there is a memory shortage on the system.

6.0 Introducing I/O Monitoring

Disk I/O subsystems are the slowest part of any Linux system. This is due mainly to their distance from the CPU and the fact that disks require the physics to work (rotation and seek). If the time taken to access disk as opposed to memory was converted into minutes and seconds, it is the difference between 7 days and 7 minutes. As a result, it is essential that the Linux kernel minimizes the amount of I/O it generates on a disk. The following subsections describe the different ways the kernel processes data I/O from disk to memory and back.

6.1 Reading and Writing Data - Memory Pages

The Linux kernel breaks disk I/O into pages. The default page size on most Linux systems is 4K. It reads and w rites disk blocks in and out of memory in 4K page sizes. You can check the page size of your system by using the time command in verbose mode and searching for the page size:

```
# /usr/bin/time -v date
<snip>
Page size (bytes): 4096
<snip>
```

6.2 Major and Minor Page Faults

Linux, like most UNIX systems, uses a virtual memory layer that maps into physical address space. This mapping is "on demand" in the sense that when a process starts, the kernel only maps that which is required. When an application starts, the kernel searches the CPU caches and then physical memory. If the data does not exist in either, the kernel issues a major page fault (MPF). A MPF is a request to the disk subsystem to retrieve pages off disk and buffer them in RAM.

Once memory pages are mapped into the buffer cache, the kernel will attempt to use these pages resulting in a minor page fault (MnPF). A MnPF saves the kernel time by reusing a page in memory as opposed to placing it back on the disk.

In the following example, the time command is used to demonstrate how many MPF and MnPF occurred when an application started. The first time the application starts, there are many MPFs:

```
# /usr/bin/time -v evolution
<snip>
Major (requiring I/O) page faults: 163
Minor (reclaiming a frame) page faults: 5918
<snip>
```

The second time evolution starts, the kernel does not issue any MPFs because the application is in memory already:

```
# /usr/bin/time -v evolution
<snip>
Major (requiring I/O) page faults: 0
Minor (reclaiming a frame) page faults: 5581
<snip>
```

6.3 The File Buffer Cache

The file buffer cache is used by the kernel to minimize MPFs and maximize MnPFs. As a system generates I/O over time, this buffer cache will continue to grow as the system will leave these pages in memory until memory gets low and the kernel needs to "free" some of these pages for other uses. The end result is that many system administrators see low amounts of free memory and become concerned when in reality, the system is just making good use of its caches.

The following output is taken from the /proc/meminfo file:

```
# cat /proc/meminfo
MemTotal: 2075672 kB
MemFree: 52528 kB
Buffers: 24596 kB
Cached: 1766844 kB
<snip>
```

The system has a total of 2 GB (MemTotal) of RAM available on it. There is currently 52 MB of RAM "free" (MemFree), 24 MB RAM that is allocated to disk write operations (Buffers), and 1.7 GB of pages read from disk in RAM (Cached).

The kernel is using these via the MnPF mechanism as opposed to pulling all of these pages in from disk. It is impossible to tell from these statistics whether or not the system is under distress as we only have part of the picture.

6.4 Types of Memory Pages

There are 3 types of memory pages in the Linux kernel. These pages are described below:

- Read Pages These are pages of data read in via disk (MPF) that are read only and backed on disk. These pages exist in the Buffer Cache and include static files, binaries, and libraries that do not change. The Kernel will continue to page these into memory as it needs them. If memory becomes short, the kernel will "steal" these pages and put them back on the free list causing an application to have to MPF to bring them back in.
- Dirty Pages These are pages of data that have been modified by the kernel while in memory. These pages need to be synced back to disk at some point using the pdflush daemon. In the event of a memory shortage, kswapd (along with pdflush) will write these pages to disk in order to make more room in memory.
- Anonymous Pages These are pages of data that do belong to a process, but do not have any file or backing store associated with them. They can't be synchronized back to disk. In the event of a memory shortage, kswapd writes these to the swap device as temporary storage until more RAM is free ("swapping" pages).

6.5 Writing Data Pages Back to Disk

Applications themselves may choose to write dirty pages back to disk immediately using the fsync() or sync() system calls. These system calls issue a direct request to the I/O scheduler. If an application does not invoke these system calls, the pdflush kernel daemon runs at periodic intervals and writes pages back to disk.

```
# ps -ef | grep pdflush
root 186 6 0 18:04 ? 00:00:00 [pdflush]
```

7.0 Monitoring I/O

Certain conditions occur on a system that may create I/O bottlenecks. These conditions may be identified by using a standard set of system monitoring tools. These tools include top, vmstat, iostat, and sar. There are some similarities between the output of these commands, but for the most part, each offers a unique set of output that provides a different aspect on performance. The following subsections describe conditions that cause I/O bottlenecks.

7.1 Calculating IO's Per Second

Every I/O request to a disk takes a certain amount of time. This is due primarily to the fact that a disk must spin and a head must seek. The spinning of a disk is often referred to as "rotational delay" (RD) and the moving of the head as a "disk seek" (DS). The time it takes for each I/O request is calculated by adding DS and RD. A disk's RD is fixed based on the RPM of the drive. An RD is considered half a revolution around a disk. To calculate RD for a 10K RPM drive, perform the following:

- 1. Divide 10000 RPM by 60 seconds (10000/60 = 166 RPS)
- 2. Convert 1 of 166 to decimal (1/166 = 0.0006 seconds per Rotation)
- 3. Multiply the seconds per rotation by 1000 milliseconds (6 MS per rotation)
- 4. Divide the total in half (6/2 = 3 MS) or RD
- 5. Add an average of 3 MS for seek time (3 MS + 3 MS = 6 MS)
- 6. Add 2 MS for latency (internal transfer) (6 MS + 2 MS = 8MS)
- 7. Divide 1000 MS by 8MS per I/O (1000/8 = 125 IOPS)

Each time an application issues an I/O, it takes an average of 8MS to service that I/O on a 10K RPM disk. Since this is a fixed time, it is imperative that the disk be as efficient as possible with the time it will spend reading and writing to the disk. The amount of I/O requests are often measured in I/Os Per Second (IOPS). The 10K RPM disk has the ability to push 120 to 150 (burst) IOPS. To measure the effectiveness of IOPS, divide the amount of IOPS by the amount of data read or written for each I/O.

7.2 Random vs Sequential I/O

The relevance of KB per I/O depends on the workload of the system. There are two different types of workload categories on a system. They are sequential and random.

7.2.1 Sequential I/O

The iostat command provides information about IOPS and the amount of data processed during each I/O. Use the -x switch with iostat. Sequential workloads require large amounts of data to be read sequentially and at once. These include applications like enterprise databases executing large queries and streaming media services capturing data. With sequential workloads, the KB per I/O ratio should be high. Sequential workload performance relies on the ability to move large amounts of data as fast as possible. If each I/O costs time, it is imperative to get as much data out of that I/O as possible.

```
# iostat -x 1
                      %idle
avg-cpu: %user %nice
                 %sys
      0.00
            0.00
                 57.1 4 42.86
Device: rrqm/s wrqm/s r/s w/s rsec/s wsec/s rkB/s wkB/s avgrq-sz avgqu-sz await svctm %util
/dev/sda 0.00 12891.43 0.00 105.71 0.00 106080.00 0.00 53040.00 1003.46 1099.43 3442.43 26.49 280.00
/dev/sda2 0.00 12857.14 0.00 5.71 0.00 105782.86 0.00 52891.43 18512.00 559.14 780.00 490.00 280.00
/dev/sda3 0.00 34.29 0.00 100.00 0.00 297.14 0.00 148.57 2.97 540.29 3594.57 24.00 240.00
avg-cpu: %user %nice %sys %idle
0.00 0.00 23.53 76.47
Device: rrqm/s wrqm/s r/s w/s rsec/s wsec/s rkB/s wkB/s avgrq-sz avgqu-sz await svctm %util
/dev/sda3 0.00 476.47 0.00 0.00 0.00 952.94 0.00 1976.47 0.00
                                                      165.59 0.00 0.00 276.47
```

The way to calculate the efficiency of IOPS is to divide the reads per second (r/s) and writes per second (w/s) by the kilobytes read (rkB/s) and written (wkB/s) per second. In the above output, the amount of data written per I/O for /dev/sda increases during each iteration:

```
53040/105 = 505KB per I/O
```

71152/102 = 697KB per I/O

7.2.2 Random I/O

Random access workloads do not depend as much on size of data. They depend primarily on the amount of IOPS a disk can push. Web and mail servers are examples of random access workloads. The I/O requests are rather small. Random access workload relies on how many requests can be processed at once. Therefore, the amount of IOPS the disk can push becomes crucial.

iostat -x 1

```
avg-cpu: %user %nice %sys %idle
         2.04 0.00 97.96 0.00
Device: rrqm/s wrqm/s r/s w/s rsec/s wsec/s rkB/s wkB/s avgrq-sz avgqu-sz await svctm %util
/dev/sda 0.00 633.67 3.06 102.31 24.49 5281.63 12.24 2640.82 288.89 73.67 113.89 27.22 50.00
/dev/sda1 0.00 5.10 0.00 2.04 0.00 57.14 0.00 28.57 28.00 1.12 55.00 55.00 11.22
/dev/sda2 0.00 628.57 3.06 100.27 24.49 5224.49 12.24 2612.24 321.50 72.55 121.25 30.63 50.00
/dev/sda3 0.00 0.00 0.00 0.00 0.00 0.00 0.00
                                                     0.00 0.00 0.00 0.00 0.00
avg-cpu: %user %nice %sys %idle
         2.15 0.00 97.85 0.00
Device: rrqm/s wrqm/s r/s w/s rsec/s wsec/s rkB/s wkB/s avqrq-sz avqqu-sz await svctm %util
/dev/sda 0.00 41.94 6.45 130.98 51.61 352.69 25.81 3176.34 19.79 2.90 286.32 7.37 15.05
                                                                  0.00 0.00 0.00
/dev/sda2 0.00 41.94 4.30 130.98 34.41 352.69 17.20 3176.34 21.18 2.90 320.00 8.24 15.05
/dev/sda3 0.00 0.00 2.15 0.00 17.20 0.00 8.60 0.00 8.00 0.00
                                                                  0.00 0.00 0.00
```

The previous output shows that the amount of IOPS for writes stays almost the same as the sequential output. The difference is the actual write size per I/O:

2640/102 = 23KB per I/O

3176/130 = 24KB per I/O

7.3 When Virtual Memory Kills I/O

If the system does not have enough RAM to accommodate all requests, it must start to use the SWAP device. Just like file system I/O, writes to the SWAP device are just as costly. If the system is extremely deprived of RAM, it is possible that it will create a paging storm to the SWAP disk. If the SWAP device is on the same file system as the data trying to be accessed, the system will enter into contention for the I/O paths. This will cause a complete performance breakdown on the system. If pages can't be read or written to disk, they will stay in RAM longer. If they stay in RAM longer, the kernel will need to free the RAM. The problem is that the I/O channels are so clogged that nothing can be done. This inevitably can lead to a kernel panic and crash of the system.

The following vmstat output demonstrates a system under memory distress. It is writing data out to the swap device:

procsmemory						swa	ap	i	o	s	ystem			срі	ı
r	b	swpd	free	buff	cache	si	so	bi	bo	in	CS	us	sy	id	wa
17	0	1250	3248	45820	1488472	30	132	992	0	2437	7657	23	50	0	23
11	0	1376	3256	45820	1488888	57	245	416	0	2391	7173	10	90	0	0
12	0	1582	1688	45828	1490228	63	131	1348	76	2432	7315	10	90	0	10
12	2	3981	1848	45468	1489824	185	56	2300	68	2478	9149	15	12	0	73
14	2	10385	2400	44484	1489732	0	87	1112	20	2515	11620	0 0	12	0	88
14	2	12671	2280	43644	1488816	76	51	1812	204	2546	1140	7 20) 45	0	35

The previous output demonstrates a large amount of read requests into memory (bi). The requests are so many that the system is short on memory (free). This is causing the system to send blocks to the swap device (so) and the size of

swap keeps growing (swpd). Also notice a large percentage of WIO time (wa). This indicates that the CPU is starting to slow because of I/O requests.

To see the effect the swapping to disk is having on the system, check the swap partition on the drive using iostat.

iostat -x 1

```
avg-cpu: %user %nice %sys %idle 0.00 0.00 100.00 0.00
```

```
Device: rrqm/s wrqm/s r/s w/s rsec/s wsec/s rkB/s wkB/s avgrq-sz avgqu-sz await svctm %util /dev/sda 0.00 1766.67 4866.67 1700.00 38933.33 31200.00 19466.67 15600.00 10.68 6526.67 100.56 5.08 3333.33 /dev/sda1 0.00 933.33 0.00 0.00 0.00 7733.33 0.00 3866.67 0.00 20.00 2145.07 7.37 200.00 /dev/sda2 0.00 0.00 4833.33 0.00 38666.67 533.33 19333.33 266.67 8.11 373.33 8.07 6.90 87.00 /dev/sda3 0.00 833.33 33.33 1700.00 266.67 22933.33 133.33 11466.67 13.38 6133.33 358.46 11.35 1966.67
```

In the previous example, both the swap device (/dev/sda1) and the file system device (/dev/sda3) are contending for I/O. Both have high amounts of write requests per second (w/s) and high wait time (await) to low service time ratios (svctm). This indicates that there is contention between the two partitions, causing both to under perform.

7.4 Determining Application I/O Usage

The iotop command displays I/O usage per process. It is similar to the top command in its output. The iotop command can be used in conjunction with iostat to determine which application is causing the I/O bottleneck.

In the following example, there is both a read (find) and write (smbd) operation contending for the same disk.

The I/O stat shows both sustained read requests (r/s) and write requests (w/s) the same disk (sda1)

iostat -x 1

avg-cpu:	%user 7.14		-	%iowait 57.14	%steal 0.00	%idle 0.00						
Device:		rrqm/s	wrqm/s	r/s	w/s	rsec/s	wsec/s	avgrq-sz	avgqu-sz	await	svctm	%util
sda		0.00	0.00	123.47	25.51	987.76	21951.02	153.97	27.76	224.29	6.85	102.04
sda1		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
sda2		0.00	0.00	123.47	25.51	987.76	21951.02	153.97	27.76	224.29	6.85	102.04

The following output shows that the find process is generating the read requests and the smbd process is generating the write requests.

```
Total DISK READ: 981.23 K/s | Total DISK WRITE: 21.43 M/s
PID PRIO USER DISK READ DISK WRITE SWAPIN IO> COMMAND

2574 be/4 root 967.01 K/s 0.00 B/s 0.00 % 39.05 % find /
64 be/3 root 0.00 B/s 19.94 M/s 0.00 % 13.09 % smbd -D

2533 be/4 dhoch 3.63 K/s 8.72 K/s 0.00 % 1.82 % [kjournald]

2442 be/4 root 0.00 B/s 2.91 K/s 0.00 % 0.46 % iostat -x 1

2217 be/4 dhoch 0.00 B/s 1488.57 B/s 0.00 % 0.00 % mono /usr~-ior-fd=25

1985 be/4 dhoch 0.00 B/s 255.12 K/s 0.00 % 0.00 % smbd -D
```

The DISK READ and DISK WRITE columns can be correlated to the iostat rsec/s and wsec/s columns.

7.5 Conclusion

I/O performance monitoring consists of the following actions:

- Any time the CPU is waiting on I/O, the disks are overloaded.
- Calculate the amount of IOPS your disks can sustain.
- Determine whether your applications require random or sequential disk access.
- Monitor slow disks by comparing wait times and service times.
- Monitor the swap and file system partitions to make sure that virtual memory is not contending for filesystem I/O.

8.0 Introducing Network Monitoring

Out of all the subsyetms to monitor, networking is the hardest to monitor. This is due primarily to the fact that the network is abstract. There are many factors that are beyond a system's control when it comes to monitoring and performance. These factors include latency, collisions, congestion and packet corruption to name a few.

This section focuses on how to check the performance of Ethernet, IP and TCP.

8.1 Ethernet Configuration Settings

Unless explicitly changed, all Ethernet networks are auto negotiated for speed. The benefit of this is largely historical when there were multiple devices on a network that could be different speeds and duplexes.

Most enterprise Ethernet networks run at either 100 or 1000BaseTX. Use ethtool to ensure that a specific system is synced at this speed.

In the following example, a system with a 100BaseTX card is running auto negotiated in 10BaseT.

```
# ethtool eth0
Settings for eth0:
        Supported ports: [ TP MII ]
       Supported link modes: 10baseT/Half 10baseT/Full
                                100baseT/Half 100baseT/Full
        Supports auto-negotiation: Yes
       Advertised link modes: 10baseT/Half 10baseT/Full
                                100baseT/Half 100baseT/Full
       Advertised auto-negotiation: Yes
        Speed: 10Mb/s
       Duplex: Half
       Port: MII
       PHYAD: 32
       Transceiver: internal
       Auto-negotiation: on
       Supports Wake-on: pumbg
       Wake-on: d
       Current message level: 0x0000007 (7)
       Link detected: yes
```

The following example demonstrates how to force this card into 100BaseTX:

```
# ethtool -s eth0 speed 100 duplex full autoneg off
# ethtool eth0
Settings for eth0:
       Supported ports: [ TP MII ]
       Supported link modes: 10baseT/Half 10baseT/Full
                               100baseT/Half 100baseT/Full
       Supports auto-negotiation: Yes
       Advertised link modes: 10baseT/Half 10baseT/Full
                                100baseT/Half 100baseT/Full
       Advertised auto-negotiation: No
       Speed: 100Mb/s
       Duplex: Full
       Port: MII
       PHYAD: 32
       Transceiver: internal
       Auto-negotiation: off
       Supports Wake-on: pumbg
       Wake-on: d
       Current message level: 0x0000007 (7)
       Link detected: yes
```

8.2 Monitoring Network Throughput

Just because an interface is now synchronized does not mean it is still having bandwidth problems. It is impossible to control or tune the switches, wires, and routers that sit in between two host systems. The best way to test network throughput is to send traffic between two systems and measure statistics like latency and speed.

8.2.0 Using iptraf for Local Throughput

The iptraf utility (http://iptraf.seul.org) provides a dashboard of throughput per Ethernet interface.

```
# iptraf -d eth0
```

20 root@training02:/var/log/iptraf _ 🗆 🗆 × File Edit View Terminal Tabs Help **IPTraf** Bytes Packets Packets Bytes Total: 407095 511304K 221444 35373499 185693 475944K IP: 407095 506808K 221444 32273250 185693 474548K 506781K 221353 185693 474548K TCP: 407004 32245912 27338 91 UDP: 91 0 0 27338 0 ICMP: 0 0 0 O 0 Other IP: 0 0 0 0 0 0 Non-IP: 0 0 0 0 0 0 63835.3 kbits/sec Broadcast packets: 5 Total rates: 5493.6 packets/sec Broadcast bytes: 1220 Incoming rates: 2564.6 kbits/sec 2816.2 packets/sec IP checksum errors: 0 61278.5 kbits/sec Outgoing rates: 2677.4 packets/sec Elapsed time: X-exit

Figure 1: Monitoring for Network Throughput

The previous output shows that the system tested above is sending traffic at a rate of 61 mbps (7.65 megabytes). This is rather slow for a 100 mbps network.

8.2.1 Using netperf for Endpoint Throughput

Unlike iptraf which is a passive interface that monitors traffic, the netperf utility enables a system administrator to perform controlled tests of network throughput. This is extremely helpful in determining the throughput from a client workstation to a heavily utilized server such as a file or web server. The netperf utility runs in a client/server mode.

To perform a basic controlled throughput test, the netperf server must be running on the server system:

```
server# netserver
Starting netserver at port 12865
Starting netserver at hostname 0.0.0.0 port 12865 and family AF UNSPEC
```

There are multiple tests that the netperf utility may perform. The most basic test is a standard throughput test. The following test initiated from the client performs a 30 second test of TCP based throughput on a LAN:

The output shows that that the throughput on the network is around 89 mbps. The server (192.168.1.215) is on the same LAN. This is exceptional performance for a 100 mbps network.

```
client# netperf -H 192.168.1.215 -1 30
TCP STREAM TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET to
192.168.1.230 (192.168.1.230) port 0 AF_INET
Recv Send Send
Socket Socket Message Elapsed
Size Size Size Time Throughput
bytes bytes bytes secs. 10^6bits/sec

87380 16384 16384 30.02 89.46
```

Moving off of the LAN onto a 54G wireless network within 10 feet of the router. The throughput decreases significantly. Out of a possible 54MBits, the laptop achieves a total throughput of 14 MBits

```
client# netperf -H 192.168.1.215 -1 30
TCP STREAM TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET to
192.168.1.215 (192.168.1.215) port 0 AF_INET
Recv Send Send
Socket Socket Message Elapsed
Size Size Size Time Throughput
bytes bytes bytes secs. 10^6bits/sec

87380 16384 16384 30.10 14.09
```

At a distance of 50 feet and down one story in a building, the signal further decreases to 5MBits.

```
# netperf -H 192.168.1.215 -l 30
TCP STREAM TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET to
192.168.1.215 (192.168.1.215) port 0 AF_INET
Recv Send Send
Socket Socket Message Elapsed
Size Size Size Time Throughput
bytes bytes bytes secs. 10^6bits/sec

87380 16384 16384 30.64 5.05
```

Moving off the LAN and onto the public Internet, the throughput drops to under 1Mbit.

```
# netperf -H litemail.org -p 1500 -l 30
TCP STREAM TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET to
litemail.org (72.249.104.148) port 0 AF_INET
Recv Send Send
Socket Socket Message Elapsed
Size Size Size Time Throughput
bytes bytes bytes secs. 10^6bits/sec

87380 16384 16384 31.58 0.93
```

The last check is the VPN connection, which has the worst throughput of all links on the network.

```
# netperf -H 10.0.1.129 -1 30
TCP STREAM TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET to
10.0.1.129 (10.0.1.129) port 0 AF_INET
Recv Send Send
Socket Socket Message Elapsed
Size Size Size Time Throughput
bytes bytes bytes secs. 10^6bits/sec

87380 16384 16384 31.99 0.51
```

Another useful test using netperf monitors the amount of TCP request and response transactions taking place per second. The test accomplishes this by creating a single TCP connection and then sending multiple request/response sequences over that connection (ack packets back and forth with a byte size of 1). This behavior is similar to applications such as RDBMS executing multiple transactions or mail servers piping multiple messages over one connection.

The following example simulates TCP request/response over the duration of 30 seconds:

```
client# netperf -t TCP_RR -H 192.168.1.230 -1 30
TCP REQUEST/RESPONSE TEST from 0.0.0.0 (0.0.0.0) port 0 AF_INET
to 192.168.1.230 (192.168.1.230) port 0 AF_INET
Local /Remote
Socket Size Request Resp. Elapsed Trans.
Send Recv Size Size Time Rate
bytes Bytes bytes bytes secs. per sec

16384 87380 1 1 30.00 4453.80
16384 87380
```

In the previous output, the network supported a transaction rate of 4453 psh/ack per second using 1 byte payloads. This is somewhat unrealistic due to the fact that most requests, especially responses, are greater than 1 byte.

In a more realistic example, a netperf uses a default size of 2K for requests and 32K for responses:

The transaction rate reduces significantly to 222 transactions per second.

8.2.3 Using iperf to Measure Network Efficiency

The iperf tool is similar to the netperf tool in that it checks connections between two endpoints. The difference with iperf is that it has more in-depth checks around TCP/UDP efficiency such as window sizes and QoS settings. The tool is designed for administrators who specifically want to tune TCP/IP stacks and then test the effectiveness of those stacks.

The iperf tool is a single binary that can run in either server or client mode. The tool runs on port 5001 by default.

To start the server (192.168.1.215):

In the following example, the iperf tool on the client performs an iterative test of network throughput on a wireless network. The wireless network is fully utilized, including multiple hosts downloading ISO image files.

The client connects to the server (192.168.1.215) and performs a 60 second bandwidth test, reporting in 5 second iterations.

```
client# iperf -c 192.168.1.215 -t 60 -i 5
______
Client connecting to 192.168.1.215, TCP port 5001
TCP window size: 25.6 KByte (default)
_____
[ 3] local 192.168.224.150 port 51978 connected with
192.168.1.215 port 5001
[ ID] Interval Transfer
                          Bandwidth
    0.0- 5.0 sec 6.22 MBytes 10.4 Mbits/sec
 31
[ ID] Interval
                Transfer Bandwidth
[ 3] 5.0-10.0 sec 6.05 MBytes 10.1 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 10.0-15.0 sec 5.55 MBytes 9.32 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 15.0-20.0 sec 5.19 MBytes 8.70 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 20.0-25.0 sec 4.95 MBytes 8.30 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 25.0-30.0 sec 5.21 MBytes 8.74 Mbits/sec
[ ID] Interval Transfer Bandwidth
 3] 30.0-35.0 sec 2.55 MBytes 4.29 Mbits/sec
[ ID] Interval Transfer
                          Bandwidth
 3] 35.0-40.0 sec 5.87 MBytes 9.84 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 40.0-45.0 sec 5.69 MBytes 9.54 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 45.0-50.0 sec 5.64 MBytes 9.46 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 50.0-55.0 sec 4.55 MBytes 7.64 Mbits/sec
 ID] Interval Transfer Bandwidth
 3] 55.0-60.0 sec 4.47 MBytes 7.50 Mbits/sec
[ ID] Interval Transfer Bandwidth
[ 3] 0.0-60.0 sec 61.9 MBytes 8.66 Mbits/sec
```

The other network traffic did have an effect on the bandwidth for this single host as seen in the fluctuations between 4 - 10 Mbits over a 60 second interval.

In addition to TCP tests, iperf has UDP tests to measure packet loss and jitter. The following <code>iperf</code> test was run on the same 54Mbit wireless G network with network load. As demonstrated in the previous example, the network throughput is currently only 9 out of 54 Mbits.

Out of the 10M that was attempted to be transferred, only 5.45M actually made it to the other side with a packet loss of 45%.

8.3 Individual Connections with tcptrace

The tcptrace utility provides detailed TCP based information about specific connections. The utility uses libpcap based files to perform and an analysis of specific TCP sessions. The utility provides information that is sometimes difficult to catch in a TCP stream. This information includes:

- TCP Retransmissions the amount of packets that needed to be sent again and the total data size
- TCP Window Sizes identify slow connections with small window sizes
- Total throughput of the connection
- Connection duration

8.3.1 Case Study – Using tcptrace

The tcptrace utility may be available in some Linux software repositories. This paper uses a precompiled package from the following website:

http://dag.wieers.com/rpm/packages/tcptrace. The tcptrace command takes a source libpcap based file as an input. Without any options, the utility lists all of the unique connections captured in the file.

The following example uses a libpcap based input file called bigstuff:

In the previous output, each connection has a number associated with it and the source and destination host. The most common option to tcptrace is the -1 and -0 option which provide detailed statistics on a specific connection.

The following example lists all of the statistics for connection #16 in the bigstuff file:

```
# tcptrace -l -o1 bigstuff
1 arg remaining, starting with 'bigstuff'
Ostermann's tcptrace -- version 6.6.7 -- Thu Nov 4, 2004
146108 packets seen, 145992 TCP packets traced
elapsed wallclock time: 0:00:00.529361, 276008 pkts/sec analyzed
trace file elapsed time: 0:09:20.358860
TCP connection info:
32 TCP connections traced:
TCP connection 1:
                                                     192.168.1.60:pcanywherestat
                  host a: 192.168.1.60:pcanyo
host b: 192.168.1.102:2571
                   complete conn: no (SYNs: 0)
                                                                                                        (FINs: 0)
                   first packet: Sun Jul 20 15:58:05.472983 2008
                   last packet: Sun Jul 20 16:00:04.564716 2008
                   elapsed time: 0:01:59.091733
         total packets: 854
filename: bigstuff
a->b:

total packets: 404
ack pkts sent: 450
pure acks sent: 13 pure acks sent: 320
sack pkts sent: 0 sack pkts sent: 0
dsack pkts sent: 0 dsack pkts sent: 0
dsack pkts sent: 0 max sack blks/ack: 0
unique bytes sent: 52608 unique bytes sent: 10624
actual data pkts: 391 actual data pkts: 130
actual data pkts: 52608 actual data pkts: 10624
rexmt data pkts: 0 rexmt data pkts: 0
rexmt data pkts: 0 rexmt data pkts: 0
rexmt data bytes: 0 zwnd probe pkts: 0
zwnd probe bytes: 0 zwnd probe bytes: 0
outoforder pkts: 0 outoforder pkts: 130
SYN/FIN pkts sent: 0/0 SYN/FIN pkts sent: 0/0
urgent data pkts: 0 pkts urgent data pkts: 130
SYN/FIN pkts sent: 0/0 syn/FIN pkts sent: 0/0
urgent data bytes: 0 bytes max segm size: 176 bytes
max segm size: 48 bytes min segm size: 80 bytes
max win adv: 19584 bytes min win adv: 65535 bytes
min segw adv: 19584 bytes avg win adv: 64287 bytes
sinitial window: 160 bytes truncated data: 5164 bytes
truncated data: 36186 bytes truncated data: 5164 bytes
truncated packet: 391 pkts truncated packets: 130 pkts
                   total packets: 854
                   filename: bigstuff
       a->b:
```

```
data xmit time: 119.092 secs data xmit time: 116.954 secs idletime max: 441267.1 ms idletime max: 441506.3 ms throughput: 442 Bps throughput: 89 Bps
```

8.3.2 Case Study - Calculating Retransmission Percentages

It is almost impossible to identify which connections have severe enough retransmission problems that require analysis. The tcptrace utility has the ability to use filters and Boolean expressions to locate problem connections. On a saturated network with multiple connections, it is possible that all connections may experience retransmissions. The key is to locate which ones are experiencing the most.

In the following example, the tcptrace command uses a filter to locate connections that retransmitted more than 100 segments:

```
# tcptrace -f'rexmit_segs>100' bigstuff
Output filter: ((c_rexmit_segs>100)OR(s_rexmit_segs>100))
1 arg remaining, starting with 'bigstuff'
Ostermann's tcptrace -- version 6.6.7 -- Thu Nov 4, 2004

146108 packets seen, 145992 TCP packets traced
elapsed wallclock time: 0:00:00.687788, 212431 pkts/sec analyzed
trace file elapsed time: 0:09:20.358860
TCP connection info:
16: ftp.strongmail.net:65014 - 192.168.1.60:2158 (ae2af) 18695> 9817
```

In the previous output, connection #16 experienced had more than 100 retransmissions. From here, the tcptrace utility provides statistics on just that connection:

Λ

0

0

```
# tcptrace -l -o16 bigstuff
 arg remaining, starting with 'bigstuff'
Ostermann's tcptrace -- version 6.6.7 -- Thu Nov 4, 2004
146108 packets seen, 145992 TCP packets traced
elapsed wallclock time: 0:00:01.355964, 107752 pkts/sec analyzed
trace file elapsed time: 0:09:20.358860
TCP connection info:
32 TCP connections traced:
_____
TCP connection 16:
       host ae: ftp.strongmail.net:65014
host af: 192.168.1.60:2158
       complete conn: no (SYNs: 0) (FINs: 1)
        first packet: Sun Jul 20 16:04:33.257606 2008
       last packet: Sun Jul 20 16:07:22.317987 2008 elapsed time: 0:02:49.060381
        total packets: 28512
       filename: bigstuff
   ae->af:
                                     af->ae:
<snip>
     unique bytes sent: 25534744
                                          unique bytes sent:
    actual data pkts: 18695
                                         actual data pkts:
    actual data bytes: 25556632
                                          actual data bytes:
```

```
rexmtdata pkts:1605rexmt data pkts:0rexmtdata bytes:2188780rexmt data bytes:0
```

To calculate the retransmission rate:

```
rexmt/actual * 100 = Retransmission rate
    Or
1605/18695* 100 = 8.5%
```

The previous connection had a retransmission rate of 8.5% which is the cause of the slow connection.

8.2.3 Case Study - Calculating Retransmits By Time

The toptrace utility comes with a series of modules that present data by different dimensions (protocol, port, time, etc). The slice module enables you to view TCP performance over an elapsed time. Specifically, you can identify when exactly a series of retransmits occurred and tie that back to other performance data to locate a bottleneck.

The following example demonstrates how to create the time slice output file using tcptrace:

```
# tcptrace -xslice bigfile
```

ls -l slice.dat

This command creates a file called slice.dat in the present working directory. This specific file contains the information about retransmissions at 15 second intervals:

8.4 Conclusion

To monitor network performance, perform the following actions:

- Check to make sure all Ethernet interfaces are running at proper rates.
- Check total throughput per network interface and be sure it is inline with network speeds.
- Monitor network traffic types to ensure that the appropriate traffic has precedence on the system.

Appendix A: Performance Monitoring Step by Step – Case Study

In the following scenario, an end user calls support and complains that the reporting module of a web user interface is taking 20 minutes to generate a report when it should take 15 seconds.

System Configuration

- RedHat Enterprise Linux 3 update 7
- Dell 1850 Dual Core Xenon Processors, 2 GB RAM, 75GB 15K Drives
- Custom LAMP software stack

Performance Analysis Procedure

1. Start with the output of vmstat for a dashboard of system performance.

# vmstat 1 10														
pro	CS				memory	s	wap		io	sy	rstem		CÏ	ou
r	b	swpd	free	buff	cache	si	so	bi	bo	in	cs us	sy :	id v	иa
1	0	249844	19144	18532	1221212	0	0	7	3	22	17 25	8	17	18
0	1	249844	17828	18528	1222696	0	0	40448	8	1384	1138 13	7	65	14
0	1	249844	18004	18528	1222756	0	0	13568	4	623	534 3	4	56	37
2	0	249844	17840	18528	1223200	0	0	35200	0	1285	1017 17	7	56	20
1	0	249844	22488	18528	1218608	0	0	38656	0	1294	1034 17	7	58	18
0	1	249844	21228	18544	1219908	0	0	13696	484	609	559 5	3	54	38
0	1	249844	17752	18544	1223376	0	0	36224	4	1469	1035 10	6	67	17
1	1	249844	17856	18544	1208520	0	0	28724	0	950	941 33	12	49	7
1	0	249844	17748	18544	1222468	0	0	40968	8	1266	1164 17	9	59	16
1	0	249844	17912	18544	1222572	0	0	41344	12	1237	1080 13	8	65	13

Key Data Points

- There are no issues with memory shortages because there is no sustained swapping activity (si and so). Although the free memory is shrinking the swpd column does not change.
- There are no serious issues with the CPU. Although there is a bit of a run queue, the processor is still over 50% idle.
- There are a high amount of context switches (cs) and blocks being read in (bo).
- The CPU is stalled at an average of 20% waiting on I/O (wa).

Conclusion: A preliminary analysis points to an I/O bottleneck.

2. Use iostat to determine from where the read requests are being generated.

# iostat -x 1 Linux 2.4.21-40.ELsmp (mail.example.com) 03/26/2007											
avg-cpu:	%user 30.00	%nice 0.00	%sys %io	dle .67							
Device: /dev/sda /dev/sda1 /dev/sda2 /dev/sda3	2.67 0.00	30.34 5.46 0.30	1180.91 14.3 0.40 1.76	23 7929.01 24.62 0.57	357.84 57.77 2.57	rkB/s 3964.50 12.31 0.29 3964.50	178.92 28.88 1.28	grq-sz av 6.93 38.11 32.86 6.90	gqu-sz 0.39 0.06 0.00 0.32	2.78 1.77 3.81 2.64	.06 6.69 7 0.38
avg-cpu:	%user 9.50	%nice 0.00	%sys %io								
Device:	rrqm/s	wrqm/s	r/s w/s	rsec/s	wsec/s	rkB/s	wkB/s av	grg-sz av	ggu-sz	await svctr	n %util
/dev/sda	0.00		1195.24 0.0		0.00	0.00	0.00	0.00	43.69	3.60 0	.99 117.86
/dev/sda1	0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 0.00	0.00
/dev/sda2	0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 0.00	0.00
/dev/sda3	0.00	0.00	1195.24 0.	0.00	0.00	0.00	0.00	0.00	43.69	3.60 0	.99 117.86
avg-cpu:	%user	%nice	%sys %i	dle							
	9.23	0.00	10.55 79	.22							
Device:	rram/s	wram/s	r/s w/s	rsec/s	wsec/s	rkB/s	wkB/s av	grg-sz av	ggu-sz	await svctr	n %util
/dev/sda	0.00		1200.37 0.0			0.00	0.00	0.00	41.65		.99 112.51
/dev/sda1	0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 0.00	0.00
/dev/sda2	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00 0.00	

Key Data Points

- The only active partition is the /dev/sda3 partition. All other partitions are completely idle.
- There are roughly 1200 read IOPS (r/s) on a disk that supports around 200 IOPS.
- Over the course of two seconds, nothing was actually read to disk (rkB/s). This correlates with the high amount of wait I/O from the vmstat.
- The high amount of read IOPS correlates with the high amount of context switches in the vmstat. There are multiple read system calls issued.

Conclusion: An application is inundating the system with more read requests than the I/O subsystem can handle.

3. Using top, determine what application is most active on the system

```
# top -d 1
11:46:11 up 3 days, 19:13, 1 user, load average: 1.72, 1.87, 1.80
176 processes: 174 sleeping, 2 running, 0 zombie, 0 stopped
CPU states: cpu
                 user
                       nice system
                                       irg softirg iowait
                                                             idle
                 12.8%
                        0.0%
                                4.6%
                                                     18.7%
         total
                                       0.2%
                                               0.2%
                                                             63.2%
         cpu00 23.3%
                                7.7%
                                     0.0%
                                                    36.8%
                        0.0%
                                               0.0%
                                                            32.0%
                                     0.0%
                                                    38.2%
         cpu01
                28.4%
                        0.0%
                              10.7%
                                               0.0%
                                                             22.5%
         cpu02
                 0.0%
                        0.0%
                              0.0% 0.9%
                                               0.9%
                                                      0.0%
                                                            98.0%
                 0.0%
                         0.0%
                               0.0% 0.0%
                                              0.0%
                                                     0.0% 100.0%
         cpu03
     2055244k av, 2032692k used,
                               22552k free, 0k shrd, 18256k buff
Mem:
                 1216212k actv, 513216k in d,
                                            25520k in c
Swap: 4192956k av,
                 249844k used, 3943112k free
                                                          1218304k cached
              PR NI VIRT RES SHR S %CPU %MEM
                                               TIME+ COMMAND
 PID USER
                 0
14939 mysql
              25
                     379M 224M 1117 R 38.2 25.7% 15:17.78 mysqld
4023 root
             15
                 0 2120 972 784 R 2.0 0.3 0:00.06 top
             15  0  2008  688  592  S  0.0  0.2  0:01.30 init
   1 root
   2 root
             34 19
                       0
                             0
                                 0 S 0.0 0.0 0:22.59 ksoftirgd/0
   3 root
             RT 0
                                 0 S 0.0 0.0 0:00.00 watchdog/0
                        0
                             0
                                 0 S 0.0 0.0 0:00.05 events/0
             10 -5
                        0
                             0
   4 root
```

Key Data Points

strace -p 14939

- The mysql process seems to be consuming the most resources. The rest of the system is completely idle.
- There is a wait on I/O reported by top (wa) which can be correlated with the wio field in vmstat.

Conclusion: It appears the mysql is the only process that is requesting resources from the system, therefore it is probably the one generating the requests.

4. Now that MySQL has been identified as generating the read requests, use strace to determine what is the nature of the read requests.

```
Process 14939 attached - interrupt to quit
read(29, "\3\1\237\1\366\337\1\222%\4\2\0\0\0\0\0012P/d", 20) = 20
read(29, "ata1/strongmail/log/strongmail-d"..., 399) = 399
_llseek(29, 2877621036, [2877621036], SEEK_SET) = 0
read(29, "\1\1\241\366\337\1\223%\4\2\0\0\0\0\0012P/da", 20) = 20
read(29, "ta1/strongmail/log/strongmail-de"..., 400) = 400
_llseek(29, 2877621456, [2877621456], SEEK_SET) = 0
read(29, "\1\1\235\366\337\1\224%\4\2\0\0\0\0\0\0012P/da", 20) = 20
read(29, "ta1/strongmail/log/strongmail-de"..., 396) = 396
_llseek(29, 2877621872, [2877621872], SEEK_SET) = 0
read(29, "\1\1\245\366\337\1\225%\4\2\0\0\0\0\0\0012P/da", 20) = 20
read(29, "ta1/strongmail/log/strongmail-de"..., 404) = 404
_llseek(29, 2877622296, [2877622296], SEEK_SET) = 0
read(29, "\3\1\236\2\366\337\1\226%\4\2\0\0\0\0\0\0012P/d", 20) = 20
```

Key Data Points

- There are a large amount of reads followed by seeks indicating that the mysql application is generating random I/O.
- There appears to be a specific query that is requesting the read operations.

Conclusion: The mysql application is executing some kind of read query that is generating all of the read IOPS.

5. Using the mysqladmin command, report on which queries are both dominating the system and taking the longest to run.

```
# ./mysqladmin -pstrongmail processlist
```

4		+		+		+		ł
i	Id	User	Host	db	Command	Time	State	Info
	1 2	root root	localhost localhost	strongmail strongmail	Sleep Sleep	10		 update `failures` set
,	upda	te_datas	source`='Y'	where database	_id='32'	and upo	late_datasoı	rce='N' and
	14	root	localhost		Query	0		show processlist

Key Data Points

- The MySQL database seems to be constantly running an update query to a table called failures.
- In order to conduct the update, the database must index the entire table.

Conclusion: An update query issued by MySQL is attempting to index an entire table of data. The amount of read requests generated by this query is devastating system performance.

Performance Follow-up

The performance information was handed to an application developer who analyzed the PHP code. The developer found a sub-optimal implementation in the code. The specific query assumed that the failures database would only scale to 100K records. The specific database in question contained 4 million records. As a result, the query could not scale to the database size. Any other query (such as report generation) was stuck behind the update query.

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